

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ronald E. Sloan et al.

Serial No.: 09/704,838

Filed: November 1, 2000

Title: USER INTERFACE FOR A
FINANCIAL MODELING SYSTEM

Docket No.: 60021-382201

Conf. No. 4308

Group Art Unit: 3693

Examiner: Kirsten Sachwitz Apple

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Please amend the Domestic Priority data as claimed by application to read as follows:

THIS APPLICATION IS A CIP OF 09/431,684 11/01/1999

AND IS A CIP OF 09/579,852 05/25/2000

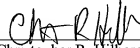
09/597,852 IS A CIP OF 09/452,273 11/30/1999

Attached for your reference, are copies of the following:

1. A red-lined Filing Receipt showing the updated Domestic Priority Data;
2. A copy of the first page of the specification filed with the USPTO on November 1, 2000 showing the related applications; and
3. A Supplemental Data Sheet.

The Commissioner is authorized to charge any fees associated with this filing to Deposit Account No. 50-1901 (Reference No. 60021-375901).

Respectfully submitted,

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USPTO.GOV

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/704,838	11/01/2000	2165	930	60021.375901	11	25	3

CONFIRMATION NO. 4308

UPDATED FILING RECEIPT



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Hickman Coleman & Hughes LLP
P O Box 52037
Palo Alto, CA 94303-0746

Date Mailed: 07/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ronald E. Sloan, Toronto, CANADA;
Stephen B. Slutsky, Toronto, CANADA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/431,684 11/01/1999

AND IS A CIP OF 09/579,852 05/25/2000
Foreign Applications 09/579,852 IS A CIP OF 09/452,273 11/30/1999

If Required, Foreign Filing License Granted 01/06/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

User interface for a financial modeling system

Preliminary Class

705

A WO 1P 769

Data entry by : AHMED, HEWAN

Team : OIPE

Date: 07/11/2001



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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

A USER INTERFACE FOR A FINANCIAL MODELING SYSTEM

By Inventors: Ronald Sloan and Stephen B. Slutsky

RELATED APPLICATIONS

30704538.4104106
15 The present application is a continuation-in-part of U.S. application number 09/431,684 entitled "SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A GRAPHICAL USER INTERFACE CAPABLE OF DYNAMICALLY AND INTERACTIVELY CAPTURING A FINANCIAL INTENTION OF A USER" filed November 1, 1999, and U.S. application number 09/579,852 entitled "SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A RISK/REWARD MAP IN A FINANCIAL MANAGEMENT/ADVICE GUI" filed May 25, 2000 itself a continuation-
20 in-part of U.S. application number 09/452,273 titled "SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR INVESTMENT PORTFOLIO MANAGEMENT IN A NETWORK-BASED FINANCIAL FRAMEWORK" filed November 30, 1999 all of which we incorporated herein by reference.

Supplemental Application Data Sheet

Application Information

Application Type::	Regular
Subject Matter::	Utility
Title::	USER INTERFACE FOR A FINANCIAL MODELING SYSTEM
Attorney Docket Number::	60021-375901
Serial No.:	09/704,838
Filed::	November 1, 2000
Total Drawing Sheets::	11
Small Entity::	No
Petition included?::	
Secrecy Order in Patent Appl.?::	

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Correspondence Information

Correspondence Customer Number:: 29838

Representative Information

Representative Customer Number:: 29838

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This application	CIP	09/431,684	11/01/1999
<u>This application</u>	<u>CIP</u>	<u>09/579,852</u>	<u>05/25/2000</u>
<u>09/579,852</u>	<u>CIP</u>	<u>09/452,273</u>	<u>11/30/1999</u>

Foreign Priority Information

Country::	Application number::	Filing Date::	Priority Claimed::

Assignee Information

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Country of mailing address::	USA
Postal or Zip Code of mailing address::	94304